

## Suitability and Appropriateness of Client

### 客户投资偏好与风险识别

Investment time frame, Please tick/预想可投资期限, 请选择

- A <2 years 小于2年
- B 3–5 years 3–5年
- C 5–7 years 5–7年
- D 8–10 years 8–10年
- E >10 years 大于10年

Investment funds may rise and fall in value. Which statement best describes your feelings towards fluctuations in value?  
投资有风险, 资产价值会出现起伏波动。如下哪一种表述最符合您对资产波动的看法?

- A I wish to preserve my capital and am unwilling to accept any decline in the value of my investment.  
我希望资金能够保值, 不接受任何资产的下落。
- B I can accept only marginal fluctuations in the value of my investments.  
我只能接受细微投资收益的波动。
- C I understand that pursuing higher returns may mean accepting fluctuations in the value of my investments.  
我理解在追求更高投资回报的同时也需要接受投资资产价值的涨跌。
- D I can accept a reasonable degree of fluctuations in the value of my investments.  
我接受投资金额的合理的波动。
- E My aim is to achieve long-term growth. I can accept a higher degree of fluctuations in the value of my investments.  
我的投资目标是长期增长。我接受一定程度的投资资产的波动。

Choose the statement that best describes your feelings towards investments  
下列哪项表述最符合您对投资的态度。

- A I prefer an investment portfolio with virtually no risk, recognizing there may be no capital growth potential.  
我更喜欢没有风险的投资组合, 尽管这也意味着资产的增长没有潜力。
- B I can accept only marginal fluctuations in the value of my investments.  
我只能接受细微投资收益的波动。
- C I prefer an investment portfolio of medium-risk funds that offer balanced growth potential over a medium-term.  
我更喜欢中等风险的投资组合, 在中期投资中可以带来较为平衡的收入增长潜力。
- D I prefer an investment portfolio of medium to higher-risk funds with higher potential returns over a longer-term.  
我更喜欢中高等风险级别的投资组合, 在中长期投资中可以带来高收入回报。
- E I prefer higher-risk investments that offer the highest potential returns over the longer term.  
我更喜欢高风险的投资, 在长期投资中带来极高的收入回报。

Age of Customer (we calculate this based on date of birth) 您的年龄 (您的年龄有助于我们测算您的投资风险承受级别)

- A 56+       B 46–55       C 36–45       D 25–35       E <25