

Financial Advisor Account Application Form–Individual

金融顾问投资账户开户申请表个人版

The Purpose of Account Opening 开户目的: _____

SECTION 1. INDIVIDUAL INFORMATION 个人基本信息	
First name 名字	Last name 姓氏
Date of Birth (dd/mm/yyyy) 出生日期 (格式: 日/月/年) _____	Mobile number 手机号码
Residential Address (C/O, Street, Suburb, City, State, Country, Postcode) 居住地址 (小区/单元/门牌号、街道、区、省、国家、邮编)	
Email address 邮箱地址	
Gender 性别	Citizenship 国籍
Country of Birth 出生地	Passport Number 护照号码
ID Number (Only Available for Chinese Citizen) 身份证号码 (仅限中国国籍居民)	

SECTION 2. ACCOUNT INFORMATION 账户信息	
Reference Currency: (USD, NZD, AUD) 金融顾问投资账户可提供的币种有: <input type="checkbox"/> 美元 <input type="checkbox"/> 新西兰元 <input type="checkbox"/> 澳元	
Loss of contact with Client, alternative contact (if applicable): 账户备用联系人信息 (如适用)	
First name 名字	Last name 姓氏
Date of Birth (dd/mm/yyyy) 出生日期 (格式: 日/月/年) _____	Mobile number 手机号码
Tax Jurisdiction 纳税国家/地区	TIN Number 税号:
If TIN is not available, please explain the reason 如无税号, 请说明原因:	
Gender 性别	Citizenship 国籍

Country of Birth 出生地	Passport Number 护照号码
ID Number (Only Available for Chinese Citizen) 身份证号码 (仅限中国国籍居民)	
Consent to correspondence/instruction, ie 银行账户日常联络通讯授权	
<p>the Client authorizes CB Treasure to accept instructions given by any means of communication (in particular by telephone, email, etc.) by the Client or any other authorized third party in respect of the Account, regardless of the nature of said instructions, without CB Treasure having to wait for the receipt of a written confirmation, particularly concerning instructions to purchase or sell securities and to transfer funds, assets, or securities to third parties.</p> <p>账户申请人向CB Treasure Journey Limited授权，允许接受开户人本人或其他任何得到开户人授权的第三方发出的任何形式的通讯指令（主要是电话、邮件等），无论指令的性质和类别，CB Treasure Journey无需等待客户签发的书面的确认函，包括对账户资产进行交易、买卖或向第三方转移资金、债券、资产等操作。</p>	

SECTION 3. IDENTITY VERIFICATION 身份信息验证

1. Have you been previously electronically verified by CBTJ authorized Mobile app platform (If yes, upload a copy of passport or Chinese ID, if No, next question)
您是否曾经在CBTJ授权的手机APP平台进行过个人身份验证？（如果是，请随本表一起发送您的护照或中国居民身份证扫描件；如果否，请转入下一个问题）

2. Have you met and been verified by an employee or officer of CBTJ and /or its related business entities? (if yes, please provide name of the employee, upload a copy of passport or Chinese ID, if no, next question)
您是否通过会见CBTJ和/或CBTJ的关联企业的员工或工作人员对您的身份信息进行验证？（如果是，请提供与您会见并验证您身份信息的员工姓名，同时提供一份护照或中国居民身份证扫描件；如果否，请转入下一个问题）

3. Upload a certified copy of passport by a lawyer, notary or consulate.
请随本表发送一份经过护照/身份证签发国家/地区的律师、公证处或领事处认证的护照或身份证复印件。

Chinese citizen: 1-3 options available. Non Chinese: option 2-3 available.
注：中国公民可选择上述三项中任意一项进行身份验证；非中国居民可选择第2或第3项进行身份验证。

SECTION 4. ADDRESS VERIFICATION 地址证明

Upload utility bill, bank statements or government correspondence no older than three months.

请随本表提供一份近三个月的水电费账单/发票、银行对账单（信用卡、借记卡、存折均可）或政府部门签发的函件，清楚显示您本人的姓名及地址，地址需与本表第一部分提供的地址保持一致。

SECTION 5. SOURCE OF WEALTH 财富来源证明

Upload one of the following:

请提供下述任意一种财富来源证明文件：

- Government-issued or registered documents or data/政府部门签发的或注册的显示个人收入来源的文件或数据
- Full bank and other investment statements/银行存款证明、投资证明、借记卡流水账单
- Full payslip or wage slip or other documents confirming salary;
工资单、工资条或雇主人力资源部门出具的含有工资额的在职证明并加盖公章
- Audited financial accounts from a chartered accountant or Charities Services;
由注册会计师审计的财务报告或者慈善机构出具的财务报告
- Letter from an agent of the customer confirming they have knowledge of and established business relationships with the customer. This agent can be a reputable financial institution, a reputable law firm, or an accounting firm.
名金融机构（银行、私人银行）、知名律所或知名会计师事务所出具的客户资产、投资、交易等证明函
- Others (Wills, inheritance) 其他文件（遗嘱、遗产，房屋买卖协议，等其他您认为相关的文件）

I have read, understood and accept the terms and conditions with CBTJ, which forms part of this application form. I further agree that CBTJ may request additional supporting documentations from time to time. I agree that the nature and purpose in establishing a relationship with CBTJ is only for financial/wealth planning, advice and asset management.

我们已经阅读并理解与CBTJ签订的客户协议（本开户表格构成该协议的一部分）的条款。我们同意受该客户协议及CBTJ不时提供的其他补充文件的约束。您同意与CBTJ开户的目的为金融投资理财与财产规划。

Signature 签名

Date日期

Client Name 客户姓名

Place 地点